

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
11 October 2001 (11.10.2001)

PCT

(10) International Publication Number
WO 01/76162 A1

(51) International Patent Classification⁷: **H04L 12/56,**
H04Q 7/38

MOLNO, Johan; Aloppevagen 28, S-128 56 Bromma (SE).

(21) International Application Number: **PCT/US01/08804**

(74) Agent: **MONCO, Dean, A.;** Wood, Phillips, VanSanten, Clark & Mortimer, 500 West Madison Street, Suite 3800, Chicago, IL 60661-2511 (US).

(22) International Filing Date: **19 March 2001 (19.03.2001)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:
09/541,832 **3 April 2000 (03.04.2000)** **US**

(71) Applicant: **ERICSSON INC.** [US/US]; 7001 Development Drive, Research Triangle Park, NC 27709 (US).

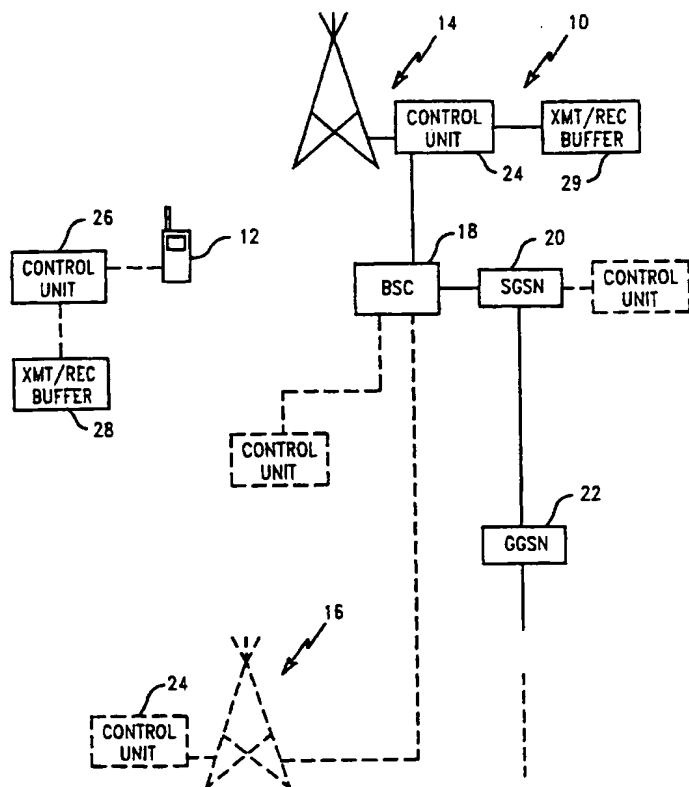
(72) Inventors: **LINDSKOG, Jan;** Radavagen 54, S-435 43 Pixbo (SE). **LUNDQVIST, Patrick;** 1166 Avenida Esteban, Encinitas, CA (US). **RYDNELL, Gunnar;** Magasinsvagen 4, S-430 65 Ravlanda (SE). **LINDHEIMER, Christofer;** Köpenhamngatan 26, S-164 42 Kista (SE).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: **METHOD AND APPARATUS FOR EFFICIENT HANDOVER IN PACKET DATA COMMUNICATION SYSTEM**



(57) Abstract: A method is disclosed for prioritizing handovers from a current base station to another base station of a mobile terminal engaged in a packet data session on a cellular packet data traffic channel. The method comprises determining at the current base station that the mobile terminal is about to perform a handover to another base station, assigning increased communication resources by the current base station to the mobile terminal prior to the handover by the mobile terminal, and increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal.

WO 01/76162 A1



Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHOD AND APPARATUS FOR EFFICIENT HANDOVER IN PACKET DATA COMMUNICATION SYSTEMS

BACKGROUND OF THE INVENTION

The present invention relates generally to cellular and wireless communication. More specifically, the invention relates to a method for efficient handovers in a packet data communication system.

5 Recently, there has been a trend in the telecommunication community to focus more and more on wireless packet data communication rather than circuit switched communication. With the tremendous increase of Internet users, and usage of Internet protocols, it is believed that the packet switched communication will soon become larger than the circuit switched communication that today dominates, e.g., cellular voice communication.
10 Cellular communication system manufacturers and operators are therefore looking for solutions to integrate their circuit switched services with wireless packet switched services that can provide reliable and more spectrum efficient connections for packet switched users, e.g., Internet users. This trend has made different types of packet switched communication system evolutions flourish. One of the more well known packet switched cellular systems in
15 the telecommunications community is the extension of the present GSM (Global System for Mobile Communications) cellular communication system, called GPRS (General Packet Radio Service).

GPRS is a packet switched system that uses the same physical carrier structure as the present GSM cellular communication system and is designed to coexist and provide
20 the same coverage as GSM. GPRS radio interface is thus based on a TDMA (Time Division Multiple Access) structured system with 200 kHz carriers divided into eight timeslots with GMSK (Gaussian Minimum Shift Keying) modulation. The multiplexing is such that several users can be allocated on the same timeslot, and use it only when data needs to be transmitted. One user can also be allocated more than one timeslot to increase its throughput
25 of data over the air.

The GPRS specification includes a number of different coding schemes to be used dependent on the quality of the radio carrier. With GPRS, data rates well over 100 kbps will be possible.

There is also ongoing development and standardization of a new air interface

mode in GSM, which will affect both packet and circuit switched modes. This new air interface mode is called EDGE (Enhanced Data rates for Global Evolution). EDGE's main features are new modulation and coding schemes for both packet switched and circuit switched data communication. In addition to the Gaussian Minimum Shift Keying (GMSK) modulation, which today is used in both GPRS and GSM circuit switched mode, an 8 symbol Phase Shift Keying (8PSK) modulation is introduced. This modulation can provide users with higher data rates than GMSK in good radio environments.

The packet data mode with EDGE modulation is called EGPRS (Enhanced GPRS) and the circuit switched data mode is called ECSD (Enhanced Circuit Switched Data). With EGPRS, data rates over 384 kbps will be possible with EDGE.

Recent development for another TDMA based cellular system, the cellular communication system compliant to the ANSI/136 standard, referred to as TDMA/136 has been focussed on a packet data system to be integrated with the TDMA/136 circuit switched mode.

This packet data system will also be based on the new EDGE technology as defined for the GPRS extension. It will then allow TDMA/136 operators with a packet data mode to provide data rates up to 384 kbps on 200 kHz carriers with GMSK and 8PSK modulation as defined for EGPRS.

Two modes of EGPRS will be standardized for use together with TDMA/136 systems, one which relies on time synchronization between base stations in the system and one which does not. These two modes are generally referred to as COMPACT and Classic respectively.

While the evolution of cellular packet data communication initially has focussed on developing a system that efficiently utilize resources to transfer delay-insensitive data, the focus is now shifting towards delay sensitive transmissions and higher quality of service requirements.

In order to set up a packet data session a mobile terminal may connect to an Internet server providing packet data service. This connection can use the Transport Control protocol/Internet Protocol (TCP/IP) for end-to-end delivery. The mobile terminal accesses a fixed network via a packet data channel over the air interface. Normally, mobile terminals are connected to the one base station that provides the best communication channel in terms of some quality measure, e. g., Carrier to Interference ratio (C/I). The mobile terminal

continuously monitors neighbor base stations such that a re-selection to another cell is prepared when signal quality of the serving base station becomes insufficient. For re-selection of cells when engaged in a packet data connection with low delay and interruption requirements, some interrupt time may be allowed to perform the cell change from a serving base station to a target base station. For connections with higher requirements and with high sensitivity to interruptions, e. g., voice connections, the re-selection needs to be more or less seamless. The seamless or fast re-selection with short interruptions while engaged in a dedicated connection is usually referred to as handover. When a mobile terminal changes its point of attachment from a serving to a target base station the connection is moved over to the target base station by the network and the target base station becomes the "new" serving base station. The network routes subsequent packet data traffic to the mobile terminal via the target base station and the packet data session continues.

Much of the focus in recent development of packet switched radiocommunication is on real-time and multi-media applications. The new services include conversational services, streaming services and best effort services. Conversational services and streaming services are referred to herein as real-time services or applications. The conversational service may be used for voice over IP (VoIP). The streaming service may be used for multi-media applications, such as, for example, sending a video or an audio file to the mobile terminal.

When doing a real-time application, the receiver may buffer received data before actually utilizing it to cope with delay jitter. For a one-way communication, such as video film or audio, it may be acceptable with more delay than for two-way communications, such as VoIP applications. However, there is a real-time aspect to the application even though the delay requirement may vary between applications. For example, with a downlink transaction, depending on the memory available in the mobile terminal and the acceptable delay in the application, the mobile terminal may buffer more or less data. In general, the buffer should be big enough to cope with varying delay between the end systems and the bursty nature of packet data. Varying delay can be due to delays in the network since IP routing can cause the packets to be delivered through different paths in the Internet. Burstiness and varying delay in the networks is also handled by buffering packets in the base station prior to sending the packets on the wireless network to the mobile terminal. Varying radio connections on the wireless path can also contribute to varying delays. When bad

wireless conditions are experienced, it may be dealt with by rate adaptation using heavier coding, which can give heavier delays.

When implementing a re-selection in conventional wireless systems, the mobile terminal is out of communication with the network system for some time and is thus not able to send or receive data. The application in the receiving end, either in the mobile terminal or in the fixed node, continues to run by extracting packets from its receive buffer. The sender, for example the base station for a downlink transaction, will not feed the receive buffer in the mobile terminal during re-selection. Thus, the data in the receive buffer is reduced and may run empty during the re-selection. After the re-selection, the receive buffer may be low. If the transmission from the sender to the receiver, for example from the base station to the mobile terminal, starts up and continues at a steady pace after the re-selection, then the buffer will not have an opportunity to build up.

Thus, there is a problem with the conventional re-selection in that the service may deteriorate because the buffer runs empty. This results in interruption of the application. This is illustrated in Fig. 4 which shows a time line including a curve A illustrating throughput on the wireless network and a second curve B representing amount of data in a receive buffer. As is apparent, the amount of data in the receive buffer is maintained at a generally constant level prior to the re-selection, the re-selection time being represented by "HO". During re-selection the amount of data in the receive buffer gradually decreases until the buffer runs empty at C. Subsequent to the re-selection only a small amount of data is added to the receive buffer so that after the re-selection is done the amount of data in the receive buffer is too small to cope with delay jitter. This can diminish performance.

Similar problems can also occur in the transmit direction during re-selection. There may also be a transmit buffer if the application generates a steady stream of data when engaged in a real-time packet data session. If the transmit buffer is full when a re-selection occurs, this means that already-delayed data will be further delayed. This can cause problems at the receiving end.

Accordingly, there is a need for a method for prioritizing handovers of a mobile terminal.

SUMMARY OF THE INVENTION

The present invention meets this and other needs by providing a method for

prioritizing handovers of a mobile terminal engaged in a packet data session on a cellular packet data traffic channel. In accordance with another aspect of the invention, a method is provided for managing mobile terminal transmit and receive buffers prior to handover from a current base station to another base station for a mobile terminal engaged in a packet data session on a cellular packet data traffic channel. In accordance with still another aspect of the invention, a method is provided for performing a fast re-selection, i. e., handover for packet data systems carrying delay sensitive applications.

Broadly, there is disclosed herein in accordance with the one aspect of the invention, a method for prioritizing handovers from a current base station to another base station of a mobile terminal engaged in a packet data session on a cellular packet data traffic channel. The method comprises determining at the current base station that the mobile terminal is about to perform a handover to another base station, assigning increased communication resources by the current base station to the mobile terminal prior to the handover by the mobile terminal, and increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal.

Additionally, the method comprises determining at the new base station that the mobile terminal has just performed a handover, assigning increased communication resources by the new base station to the mobile terminal after the handover by the mobile terminal, and increasing throughput on the cellular packet data traffic channel between the new base station and the mobile terminal after the handover by the mobile terminal.

There is disclosed in accordance with the another aspect of the invention, a method for managing mobile terminal transmit and receive buffers prior to handover from a current base station to another base station for a mobile terminal engaged in a packet data session on a cellular packet data traffic channel. The method comprises determining at the mobile terminal that handover from the current base station to another base station should be made, determining at the mobile terminal status of transmit and receive buffers of the mobile terminal, and if the status of the transmit and receive buffers is acceptable, then initiating handover by the mobile terminal, and otherwise the mobile terminal selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status.

Further features and advantages of the invention will be readily apparent from the specification and from the drawing.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 illustrates an overview of GPRS nodes present in an exemplary GPRS system;

Fig. 2 is a flow diagram of a handover method as implemented in a network system according to an one aspect of the invention;

Fig. 3 is a flow chart of a handover method as implemented in a mobile terminal according to another aspect of the invention;

Fig. 4 is a timing diagram illustrating amount of receive buffer data with a conventional handover;

Fig. 5 is a timing diagram, similar to Fig. 4, illustrating amount of receive buffer data in a downlink transaction using the method in accordance with the invention;

Fig. 6 is a timing diagram, similar to Fig. 4, illustrating amount of transmit buffer data in an uplink transaction using the method in accordance with the invention; and

Fig. 7 is a block diagram illustrating logical layers implemented in a base station in accordance with the invention.

DETAILED DESCRIPTION OF THE INVENTION

The present invention is now be described with reference to a GPRS based cellular packet data communication system and extensions thereof, as briefly described in the background. The invention could be used with other systems, such as a TDMA/136 system, also discussed in the background, as will be apparent.

In a GPRS communication system, physical channels on which communication can occur are divided into timeslots on a radio frequency carrier. Each carrier frequency is divided into eight timeslots, or eight physical channels. Eight consecutive timeslots form a GSM frame. The timeslots refer to both up- and downlink timeslots. A TDMA/136 system uses six timeslots.

Referring now to Fig. 1, there is illustrated a number of system nodes in an exemplary packet data communication system 10 according to GPRS. Additional nodes may occur in other exemplary systems, or some nodes may be absent. A mobile terminal 12 may communicate with a current or serving base station 14. Of course, more base stations, such as a base station 16, are usually present in packet data systems. The serving base station 14 may be connected to a Base Station Control node (BSC) 18, which in turn is connected to a

Serving GPRS Support Node (SGSN) 20, serving one or several BSCs. The SGSN 20 is typically the node controlling the packet flow to and from the different base stations, via the BSCs. Another GPRS support node is a Gateway GPRS Support Node (GGSN) 22 connected to e.g., Internet or other external networks (not illustrated). In Fig. 1, control units 24 are
5 illustrated. They are exemplary located in the base stations 14 and 16, but could alternatively be located in other network nodes as well, e.g., the BSC 18 or the SGSN 20, as shown in phantom. Control functionality may also be split between different nodes. The control units 24 will, in this exemplary system, control allocation in base stations as well as transmission flows and re-selection. Thus, the control unit in a serving base station 14 receives and
10 possibly processes a re-selection request from a mobile station, before forwarding it to a new or target base station, such as the base station 16.

The mobile terminal 12 includes a control unit 26 for controlling its operation. The control unit may take various known forms, such as, for example, a programmed micro controller or processor and memory. The memory stores programs for operating the mobile
15 terminal 12 as well as operating data. The memory includes buffer memory, represented by a block 28, comprising a transmit buffer and/or a receive buffer. The base station control unit 24 includes similar memory 29. The receive buffer is used to buffer data received from the network 10 for downlink or from the mobile terminal 12 for uplink. The transmit buffer is used to buffer data to be transmitted to the network 10 by the mobile terminal 12 or to the
20 mobile terminal 12 by the network 10. The buffer 28 is particularly useful in real-time packet data sessions on a cellular packet data traffic channel, such as real-time streaming and conversational services discussed above.

In accordance with the invention, the network system 10 is adapted to improve buffer management during handover. In the receive direction, the objective is to minimize
25 time the receive buffer is empty. The best case is to avoid the receive buffer ever becoming empty since an empty buffer causes an application to work improperly. In the transmit direction the objective is to minimize the buildup of data in the transmit buffer since this translates to increased delay that may cause an empty receive buffer at the other end. These objectives are achieved using a resource scheduler in the base station control unit 24 or a
30 buffer management system in the mobile terminal control unit 26, as described below.

Referring to Fig. 2, a flow diagram illustrates a resource scheduler program implemented in the control unit 24 of Fig. 1 to prioritize handovers from a current base

station, such as the base station 14, to a new base station, such as the base station 16, of the mobile terminal 12 while engaged in real-time packet data session on a cellular packet data traffic channel. The flow diagram of Fig. 2 illustrates the network side of the procedure for handovers. While described as implemented in the control unit 24 associated with a base station, the control unit could be associated with another node, as discussed above.

The procedure begins at a block 30 when there is a packet data session ongoing. The SGSN 20 and base station 14 is serving a number of mobile terminals in active mode on a radio packet data channel, i. e., in READY mode. The mobile terminals have already performed a successful GPRS attach procedure. In order to access the packet switched services, a mobile terminal shall make its presence known to the network by performing a PS attach. At GPRS attach, the SGSN establishes a mobility management context containing information pertaining to e. g., mobility and security for the mobile terminal. The scheduler algorithm in the control unit 24 decides which of the actual active mobile terminals shall be granted radio resources on the downlink and uplink during the following scheduling interval. The interval may consist of a number of timeslots, it may be short or long, and is not specifically defined herein.

The procedure then moves to a block 32 which starts scheduling for the next scheduling time interval. This is implemented in an infinite loop. The real-time resources are assigned to mobile terminals at a block 34. This assignment is based on the amount of resources required to provide highest quality of service. A decision block 36 determines if any resources are left during the scheduling interval. If not, then the control loops back to the block 32.

If additional resources are left, as determined at the decision block 36, then the scheduler assigns increased resources at a block 38 to real-time application mobile terminals that are about to handover from a base station or have just done a handover to a base station, and if the particular base station has any data in its transmit buffer. This prioritization can be expanded further to include, for example, prioritizing two-way real-time services over one-way real-time services, etc. From the block 38, a decision block 40 determines if any radio resources remain. If not, then the scheduler loops back to the block 32. If there are resources left, then a block 42 optionally assigns increased resources to a best effort mobile terminal. A best effort mobile terminal is one not implementing a real-time application. A decision block 44 then determines if any resources are left. If not, then the scheduler loops back to the

block 32. If so, then the scheduler optionally assigns any resources left to best effort mobile terminals at a block 46. The scheduler then loops back to the block 32 to repeat the process.

As described, the scheduler in the base station control unit 24 prioritizes mobile terminals doing real-time applications, whether it be uplink or downlink, just before a handover or re-selection or just after the handover. The scheduler schedules more traffic for that mobile terminal to build up its receive buffer with excess packet storage or to empty its transmit buffer. The real-time application is prioritized since it can benefit, but the best effort application does not need to buffer. The type of scheduling described results in better service as the receive buffer in the mobile terminal for a downlink transaction or in the fixed node for an uplink transaction is less likely to be low or empty during and after the handover. This is illustrated in Fig. 5 which shows on a time line a first curve 48 representing throughput on the wireless link and a curve 50 representing amount of data stored in a receive buffer. The throughput on the radio link is increased before the handover (pre-HO) and just after the handover (post-HO). The result is that the amount of data in the receive buffer increases pre-HO, gradually decreases during the handover HO, and then increases post-HO to return to a desired buffer amount. However, the receive buffer is never empty, as indicated at 52.

Fig. 6 illustrates similar curves for an uplink transaction. Throughput from the mobile terminal on the radio link is represented by a curve 54. As is apparent, this curve is generally similar to the curve 48 of Fig. 5. A curve 56 illustrates amount of data stored in the transmit buffer in the mobile terminal. As can be seen, the transmit buffer data is decreased pre-HO, gradually increases during the handover HO and is decreased post-HO.

Giving increased radio resources to a real-time mobile terminal may be accomplished in different ways. For example, in a situation where a real-time mobile terminal is allocated a temporary block flow (TBF) it extends over multiple timeslots. The allocated time slots may not be utilized at the same time if a steady rate does not require it. It is therefore possible to send more data temporarily to the mobile terminal by transmitting on all timeslots allocated for a short period of time. Another situation when it is possible to increase allocation is if using half-rate flow. Allocation can be increased to double half-rate, i. e., sending two half-rate blocks during each block period.

The base station control unit 24 must anticipate the mobile terminal 12 is about to do a handover or re-selection in order to adapt the scheduling of packets to or from

the mobile terminal 12. In GPRS there is a network-determined handover known as a mobile assisted handover (MAHO) and mobile terminal autonomous re-selection. In the case of the MAHO, it is clearly known in the network that a handover is going to be made since it is done solely at the discretion of the network. Conversely, for the mobile terminal autonomous re-selection, it is not known to the base station in advance at what point the mobile terminal 12 will make a re-selection. Diachina et al., application no. 09/459,675 entitled "Re-Selection For Real-Time Packet Data Networks", the specification of which is hereby incorporated by reference herein, discloses a method where the mobile terminal autonomously determines to re-select and then sends a message to the current base station that it is about to perform a handover to another base station. Thus a method can be used in conjunction with the present invention.

In accordance with another aspect of the invention, buffer management is implemented in the mobile terminal control unit 26 during handover by timing the handover or re-selection decision. In general, a handover or re-selection to a neighbor cell is done when criteria based on radio signal strength and the like are evaluated such that a neighbor cell becomes stronger or more favorable than the current base station. However, there may be a time interval when both the current and the new base station are acceptable to camp on. During this time the handover may be done earlier or later. In accordance with the invention, the handover is done at a time when the buffers are in a favorable or acceptable state, i. e., when the amount of data in the receive buffer is high and when the amount of data in the transmit buffer is low. The mobile terminal 12 requests resources from the network 10 to accomplish this. The handover or re-selection decision algorithm may be designed as a cost function, taking into account the costs of delaying the decision, and getting worse radio conditions, versus the cost of doing a handover with unfavorable buffer status.

Referring to Fig. 3, a flow diagram illustrates the buffer management routine implemented in the mobile terminal control unit 26 of Fig. 1. This routine begins when the mobile terminal 12 is in ongoing real-time packet data session on a cellular packet data traffic channel at a block 60. The mobile terminal 12 monitors adjacent cells' signal strength at a block 62 to determine when a neighbor base station becomes more desirable than the current base station, or waits for a cell change command from the base station in the case of a MAHO. A decision block 64 determines if re-selection is desired. This is based upon re-selection criteria in the mobile terminal 12 or a command from the current base station 14

indicating that a better neighbor exists and that the mobile terminal should change to camp on the new cell. If not, then the management routine returns to the block 62. If re-selection is desired, then the mobile terminal checks the state of the transmit and receive buffers 28 at a block 66. A cost function is calculated at a block 68. The cost function takes into account the radio channel condition, the costs of, for example, delaying the cell change's decision, and getting worse conditions, versus the cost of doing a handover with unfavorable buffers. A decision block 70 determines if the cost of staying in the current cell is higher than the cost of changing to the new cell. If so, then the mobile terminal re-synchronizes to the new cell at a block 72 and returns to the block 62. If the cost is not higher, then the mobile terminal continues to try to send and receive data for a predetermined time interval on the current channel at a block 74. The mobile terminal 12 continues to monitor the radio channel conditions. The buffer management routine then loops back to the block 66.

Referring to Fig. 7, a block diagram illustrates functional layers for the base station scheduler described above in the flow diagram of Fig. 2. A large block 80 represents the functional layers related to the scheduling function which communicate with higher layers represented by a block 82 and physical layers represented by a block 84. The physical layer 84 represents how bits are modulated to and from the wireless network and include an uplink interface "ul" and a downlink interface "dl". The physical layer 84 communicates with a radio link control/medium access control block (RLC/MAC) 86. A radio resource control function 88 receives requests for reselection or MAHO measurements from mobile terminals via an interface 90 from the RLC/MAC 86. The radio resource control function 88 indicates back to the mobile terminal via the RLC/MAC 86 a re-selection accept or in the case of MAHO the handover command over an interface 92. The radio resource control function 88 also indicates to a scheduler function 94 over an interface 96 that the mobile terminal will change base stations. The scheduler function 94 receives information on an interface 98 on the status of the quality of service buffers for each mobile terminal represented by a function 100. The scheduler function 94 assigns the radio resources during the next scheduling interval and signals to the RLC/MAC 86 on an interface 102. The RLC/MAC requests data accordingly from the QoS data buffers 100 over an interface 104. The data is received over an interface 106 to be transmitted to the mobile terminal on the downlink dl.

Thus, in accordance with the invention, mobile terminals are enabled to more

efficiently make a handover or re-selection to a neighbor cell while engaged in packet data transfer and, in particular, to do so when engaged in a real-time packet data application.

CLAIMS

WE CLAIM:

1. A method of prioritizing handovers from a current base station to another base station of a mobile terminal engaged in a packet data session on a cellular packet data traffic channel, comprising:

determining at the current base station that the mobile terminal is about to perform a handover;

assigning increased communication resources by the current base station to the mobile terminal prior to the handover by the mobile terminal; and

increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal.

2. The method of claim 1 wherein increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal comprises increasing received data stored in a receive buffer of the mobile terminal.

3. The method of claim 1 wherein increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal comprises decreasing transmit data stored in a transmit buffer of the mobile terminal.

4. The method of claim 1 further comprising assigning additional increased communication resources by the current base station to a best effort mobile terminal.

5. A method of prioritizing handovers to a new base station of a mobile terminal engaged in a packet data session on a cellular packet data traffic channel, comprising:

determining at the new base station that the mobile terminal has just performed a handover to the new former base station;

assigning increased communication resources by the new base station to the mobile terminal after the handover by the mobile terminal; and

increasing throughput on the cellular packet data traffic channel between the new base station and the mobile terminal after the handover by the mobile terminal.

6. The method of claim 5 wherein increasing throughput on the cellular packet data traffic channel between the new base station and the mobile terminal after the handover by the mobile terminal comprises increasing received data stored in a receive buffer of the mobile terminal.

7. The method of claim 5 wherein increasing throughput on the cellular packet data traffic channel between the new base station and the mobile terminal after the handover by the mobile terminal comprises decreasing transmit data stored in a transmit buffer of the mobile terminal.

8. The method of claim 5 further comprising assigning additional increased communication resources by the new base station to a best effort mobile terminal.

9. A method of prioritizing handovers from a current base station to a new base station of a mobile terminal engaged in a real-time packet data session on a cellular packet data traffic channel, comprising:

determining at the current base station that the mobile terminal is about to perform a handover to the new base station;

assigning increased communication resources by the current base station to the mobile terminal prior to the handover by the mobile terminal;

increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal;

assigning increased communication resources by the new base station to the mobile terminal after the handover by the mobile terminal; and

increasing throughput on the cellular packet data traffic channel between the new base station and the mobile terminal after the handover by the mobile terminal.

10. The method of claim 9 wherein increasing throughput on the cellular packet data traffic channel between the current base station or the new base station and the mobile terminal comprises increasing received data stored in a receive buffer of the mobile terminal.

11. The method of claim 9 wherein increasing throughput on the cellular packet data traffic channel between the current base station or the new base station and the mobile terminal comprises decreasing transmit data stored in a transmit buffer of the mobile terminal.

12. A method of managing mobile terminal transmit and receive buffers prior to handover from a current base station to another base station for a mobile terminal engaged in a packet data session on a cellular packet data traffic channel, comprising:

5 determining at the mobile terminal that handover from the current base station to another base station should be made;

determining at the mobile terminal status of transmit and receive buffers of the mobile terminal; and

10 if status of the transmit and receive buffers is acceptable, then initiating handover by the mobile terminal, and otherwise the mobile terminal selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status.

13. The method of claim 12 wherein selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status results in increasing received data stored in the receive buffer of the mobile terminal.

15 14. The method of claim 12 wherein selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status results in decreasing transmit data stored in the transmit buffer of the mobile terminal.

20 15. The method of claim 12 wherein determining at the mobile terminal status of transmit and receive buffers of the mobile terminal comprises determining if amount of data stored in the receive buffer is relatively high and amount of data stored in the transmit buffer is relatively low.

16. A method of prioritizing handovers from or to a base station engaged in a real-time packet data session on a cellular packet data traffic channel, comprising:

determining at the base station that a handover is about to be performed or has just been performed for the real-time packet data session on the cellular packet data traffic channel; and

assigning increased communication resources by the base station prior to the handover or after the handover, thereby increasing throughput on the cellular packet data traffic channel prior to the handover or after the handover.

17. The method of claim 16 wherein increasing throughput on the cellular packet data traffic channel comprises increasing received data stored in a receive buffer of the base station.

18. In a cellular packet data network system, a control for prioritizing handovers from or to a base station engaged in a real-time packet data session comprising:

5 a network control unit adapted to determine that a handover is about to be performed or has just been performed for the real-time packet data session on the cellular packet data traffic channel, and assign increased communication resources by the base station prior to the handover or after the handover, thereby increasing throughput on the cellular packet data traffic channel prior to the handover or after the handover.

10 19. The control of claim 18 further comprising a receive buffer operatively associated with the network control unit for storing received data and wherein increasing throughput on the cellular packet data traffic channel comprises increasing received data stored in the receive buffer.

20 A mobile terminal for engaging in a packet data session on a cellular packet data traffic channel, comprising:

transmit and receive buffers for storing data;

a control unit for managing the transmit and receive buffers prior to handover,

5 the control unit determining if a handover should be made and in response thereto determining status of the transmit and receive buffers, and if status of the transmit and receive buffers is acceptable, then initiating handover by the mobile terminal, and otherwise the selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status.

10 21. The mobile terminal of claim 20 wherein the control unit selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status results in increasing received data stored in the receive buffer.

15 22. The mobile terminal of claim 20 wherein the control unit selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status results in decreasing transmit data stored in the transmit buffer.

23. The mobile terminal of claim 20 wherein the control unit determining status of the transmit and receive buffers comprises determining if amount of data stored in the receive buffer is relatively high and amount of data stored in the transmit buffer is relatively low.

24. A cellular packet data network system for prioritizing handovers during a real-time packet data session, comprising:

a mobile terminal; and

a fixed network control unit for determining that a handover is about to be performed by the mobile terminal or has just been performed by the mobile terminal for the real-time packet data session on the cellular packet data traffic channel, and assigning increased communication resources to the mobile terminal prior to the handover or after the handover, thereby increasing throughput on the cellular packet data traffic channel prior to the handover or after the handover.

25. The network system of claim 24 further comprising a receive buffer operatively associated with the network control unit for storing received data and wherein increasing throughput on the cellular packet data traffic channel comprises increasing received data stored in the receive buffer.

26 The network system of claim 24 wherein the mobile terminal includes transmit and receive buffers for storing data, and a mobile terminal control unit for managing the transmit and receive buffers prior to handover, the mobile terminal control unit determining if a handover should be made and in response thereto determining status of the transmit and receive buffers, and if status of the transmit and receive buffers is acceptable, then initiating handover by the mobile terminal, and otherwise the selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status.

27. The network system of claim 26 wherein the mobile terminal control unit selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status results in increasing received data stored in the receive buffer.

28. The network system of claim 26 wherein the mobile terminal control unit selectively deferring initiating the handover until the transmit and receive buffers have an acceptable status results in decreasing transmit data stored in the transmit buffer.

1/5

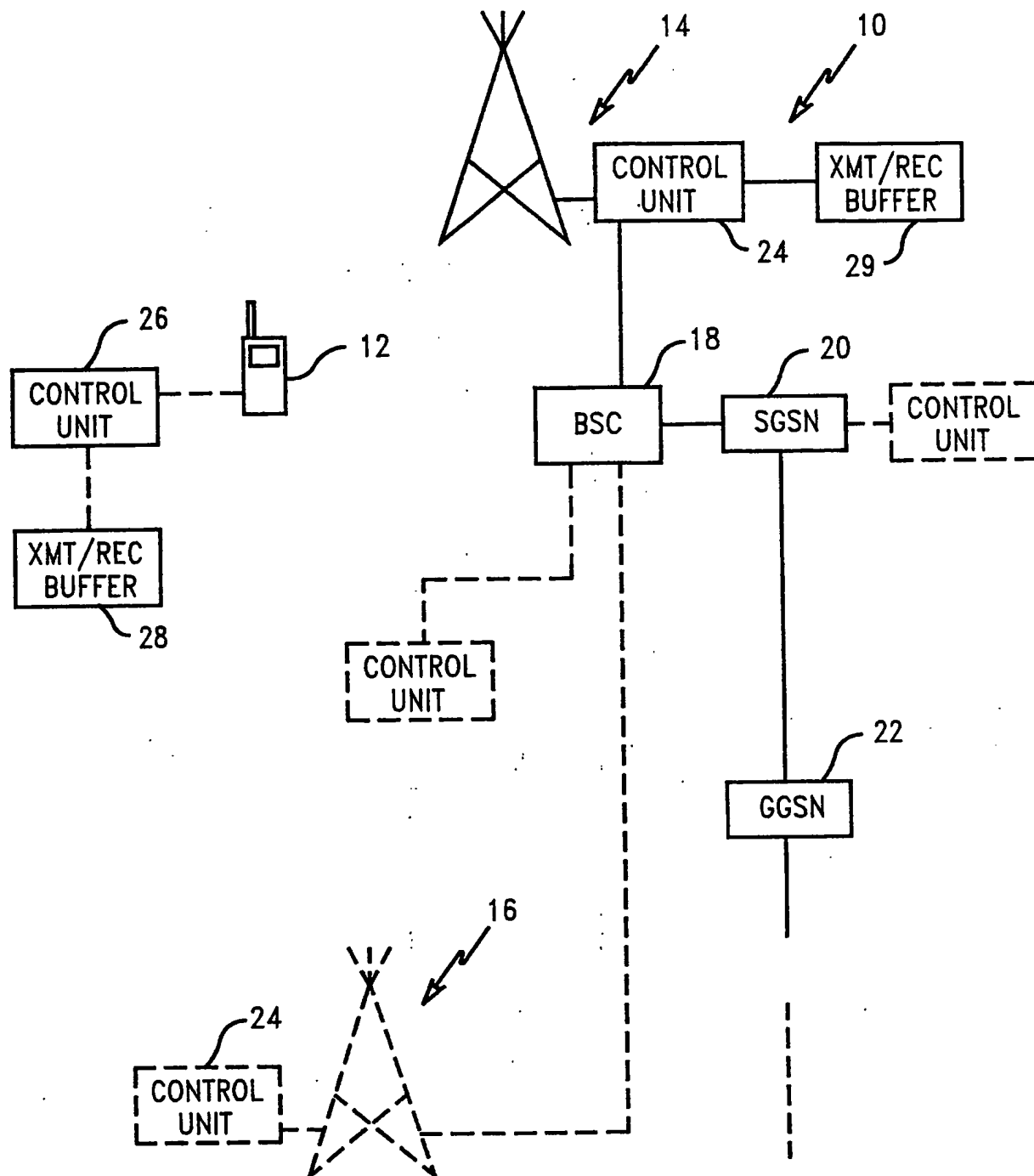


FIG. 1

2/5

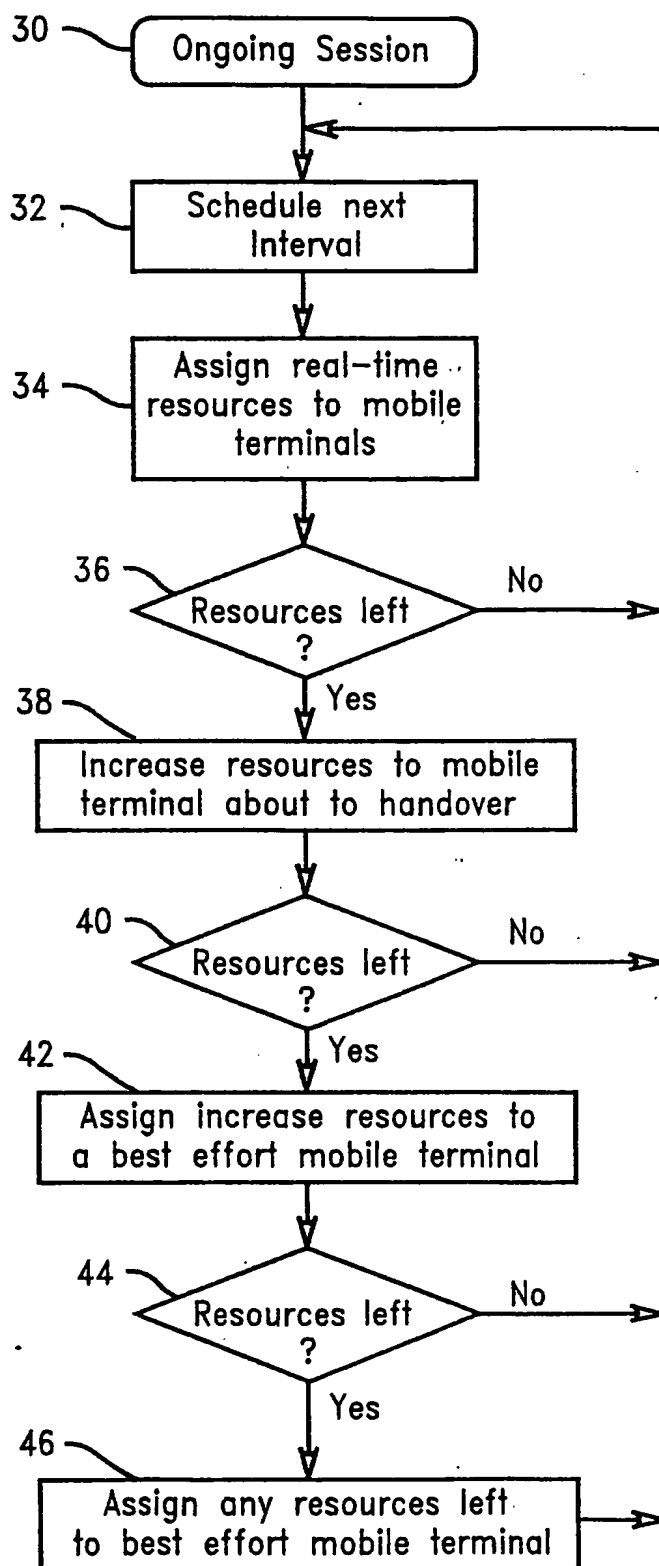


FIG. 2

3/5

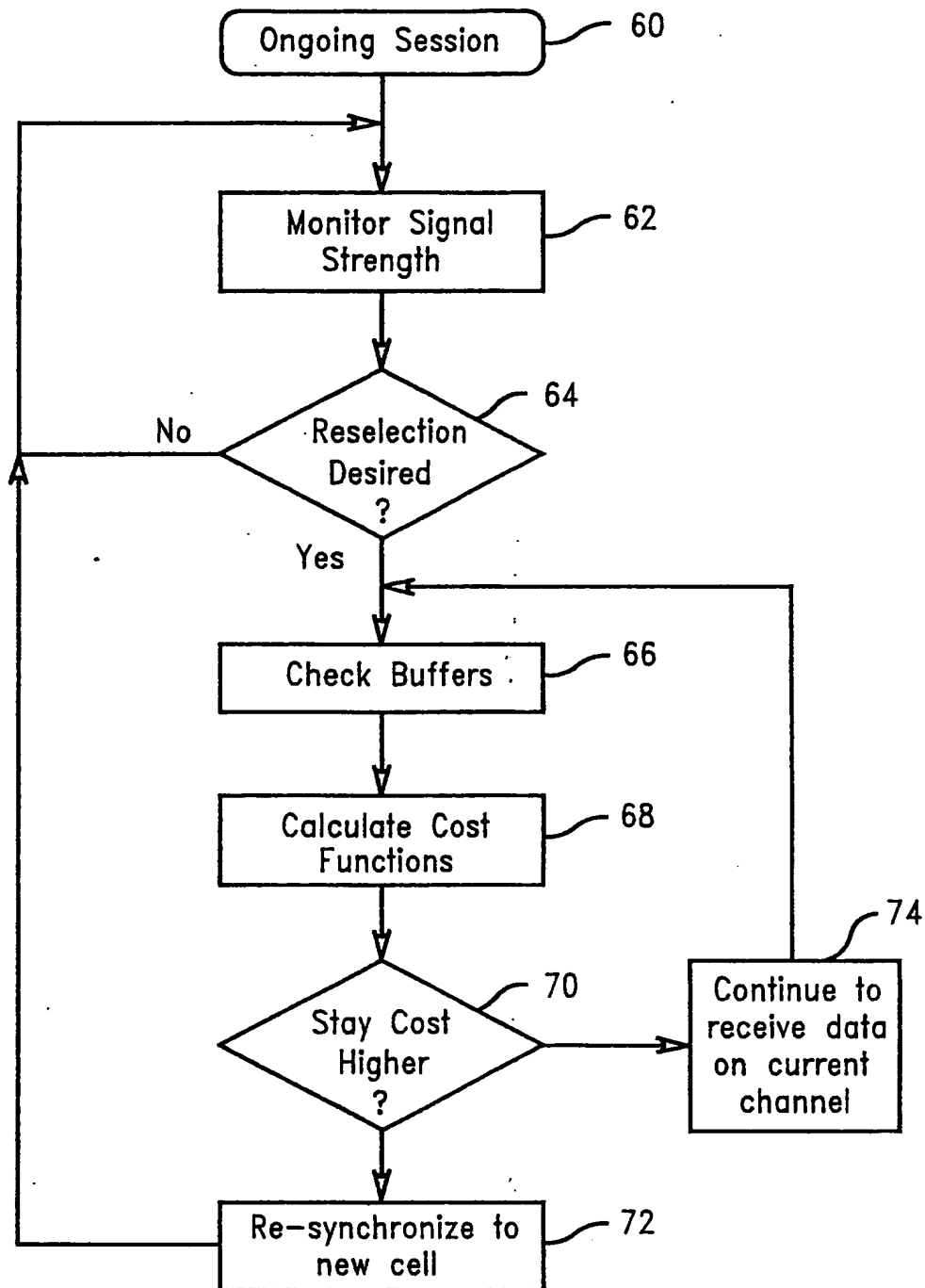


FIG. 3

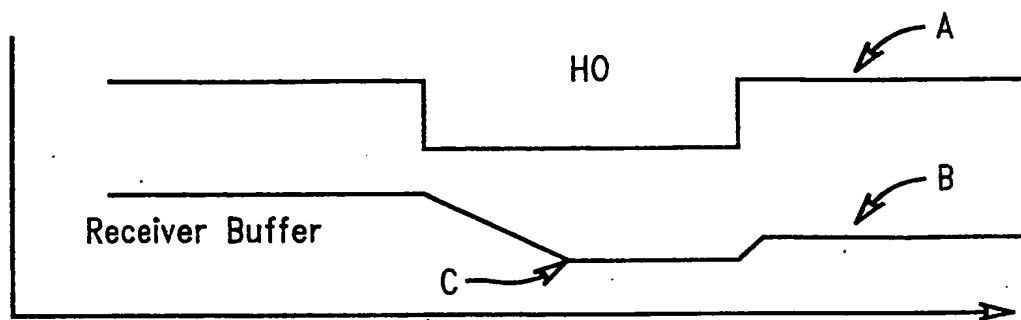


FIG. 4

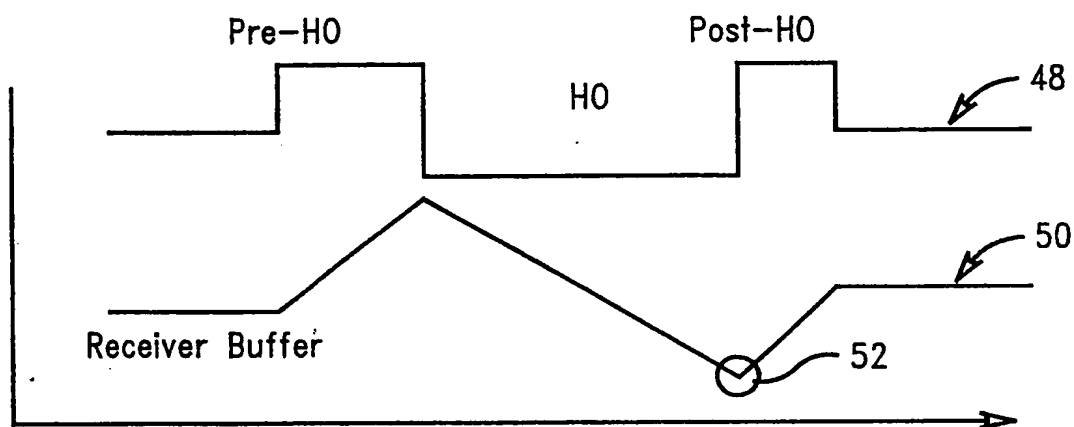


FIG. 5

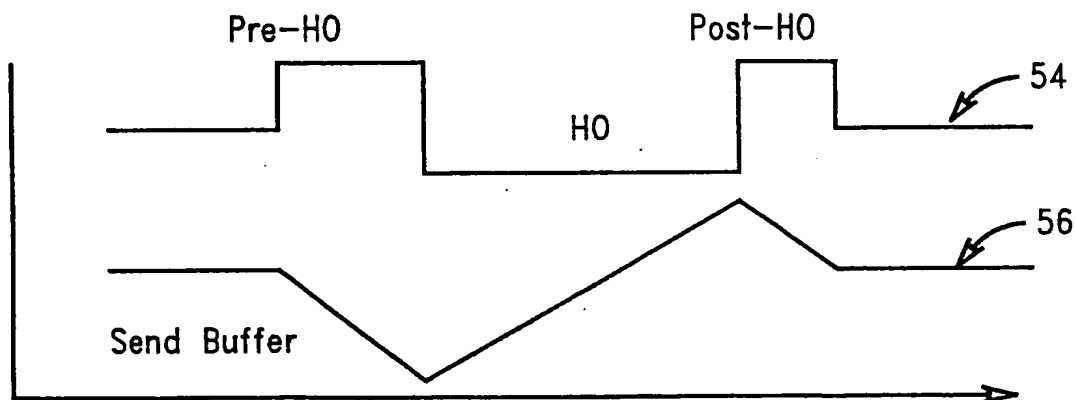


FIG. 6

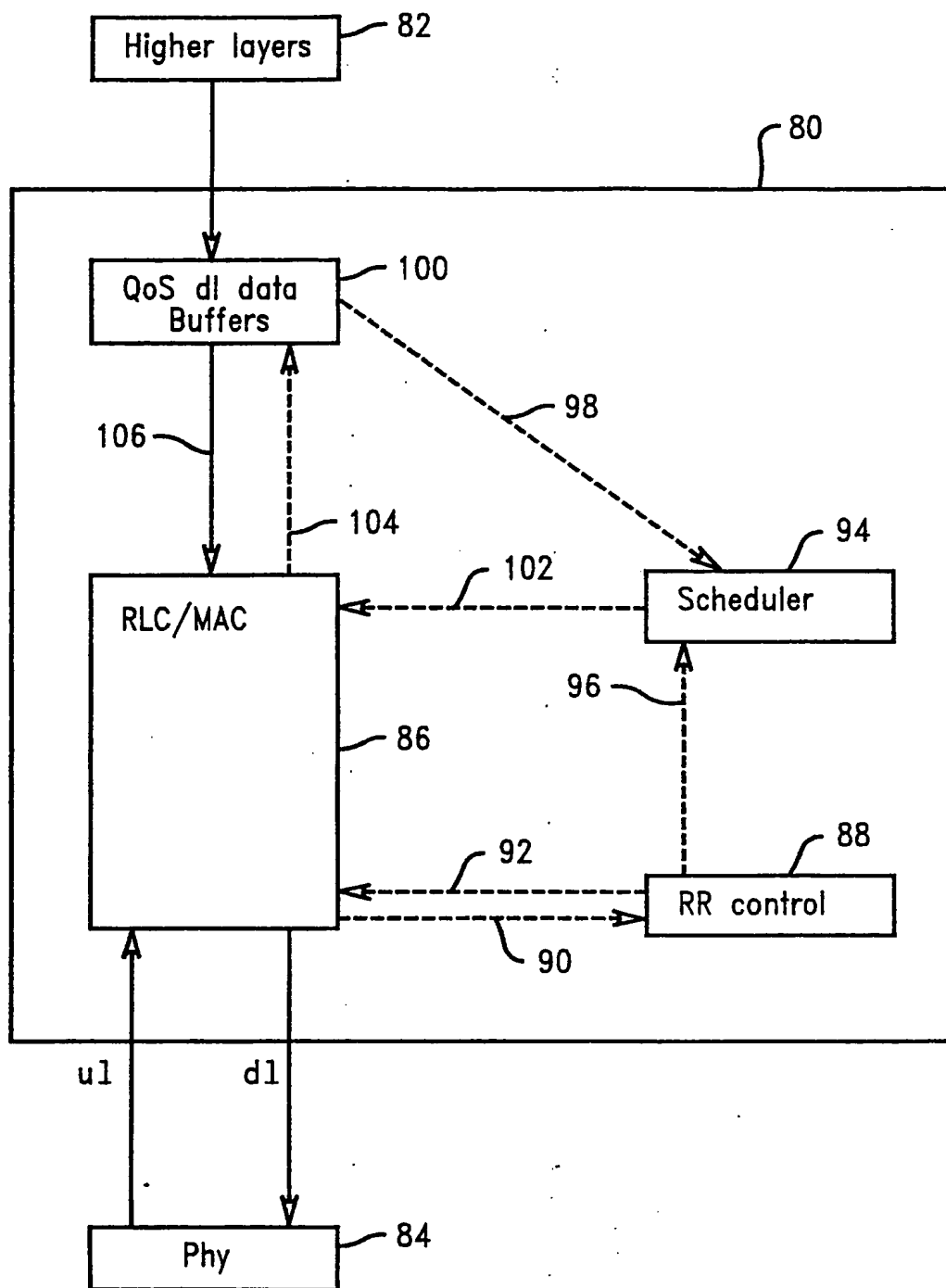


FIG. 7

INTERNATIONAL SEARCH REPORT

Intl. Patent Application No

PCT/US 01/08804

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04L12/56 H04Q7/38

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 47302 A (NOKIA TELECOMMUNICATIONS OY ;RAUHALA KRISTIAN (US)) 22 October 1998 (1998-10-22) page 4, line 9 -page 6, line 13 page 7, line 4 -page 10, line 10 ---	1,5,9, 12,16, 18,20, 24,26
A	EP 0 332 818 A (MOTOROLA INC) 20 September 1989 (1989-09-20) column 1, line 38-46 column 13, line 26 -column 15, line 1 --- -/--	1,5,9, 12,16, 18,20, 24,26

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *G* document member of the same patent family

Date of the actual completion of the international search

17 August 2001

Date of mailing of the international search report

27/08/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Psatha, H

INTERNATIONAL SEARCH REPORT

Int ☐ National Application No

PCT/US 01/08804

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 798 943 A (YRP MOBILE TELECOMMUNICATIONS) 1 October 1997 (1997-10-01) column 13, line 33 -column 15, line 36 column 19, line 21 -column 20, line 54 column 22, line 17 -column 23, line 23 -----	1,5,9, 12,16, 18,20, 24,26
A	KANG M S ET AL: "Handover compensation scheme for weighted fair queuing in cellular packet switched networks" 5 June 1997 (1997-06-05), ELECTRONICS LETTERS, IEE STEVENAGE, GB, VOL. 33, NR. 12, PAGE(S) 1015-1017 XP006007550 ISSN: 0013-5194 -----	

INTERNATIONAL SEARCH REPORT

Information on patent family members

Int'l Application No

PCT/US 01/08804

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9847302 A	22-10-1998	FI 971585 A	16-10-1998
		AU 6835698 A	11-11-1998
		CN 1252918 T	10-05-2000
		EP 0976269 A	02-02-2000
		ZA 9803090 A	20-10-1998
EP 0332818 A	20-09-1989	US 4887265 A	12-12-1989
		AT 146927 T	15-01-1997
		CA 1309480 A	27-10-1992
		DE 68927575 D	06-02-1997
		EP 0746172 A	04-12-1996
		ES 2095829 T	01-03-1997
		FI 891276 A	19-09-1989
		FI 971840 A	29-04-1997
		GR 3022922 T	30-06-1997
		JP 1274524 A	02-11-1989
		JP 2079947 C	09-08-1996
		JP 7105975 B	13-11-1995
EP 0798943 A	01-10-1997	JP 2853026 B	03-02-1999
		JP 9261713 A	03-10-1997
		JP 2945341 B	06-09-1999
		JP 10224375 A	21-08-1998
		US 6192039 B	20-02-2001

CORRECTED VERSION

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
11 October 2001 (11.10.2001)

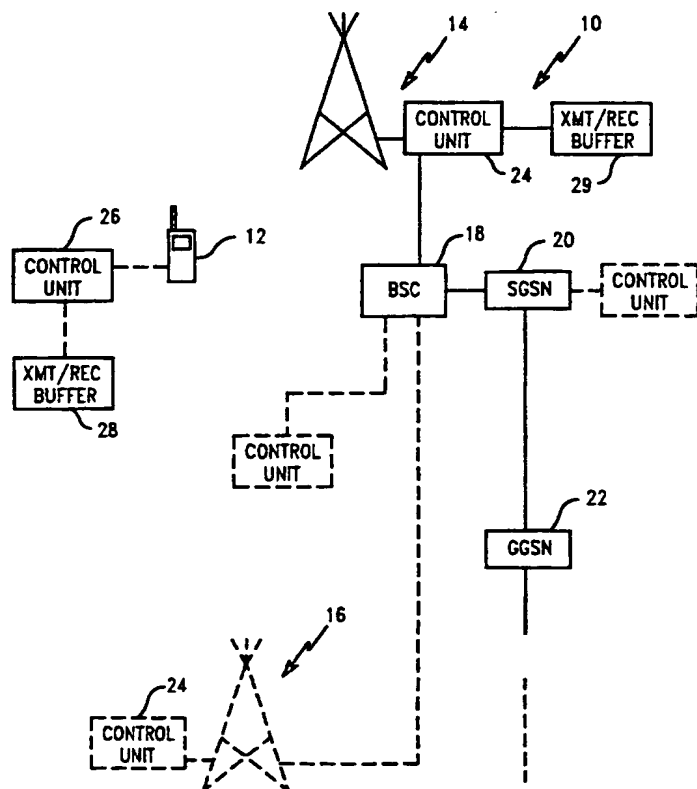
PCT

(10) International Publication Number
WO 01/76162 A1

- (51) International Patent Classification⁷: H04L 12/56, H04Q 7/38
- (21) International Application Number: PCT/US01/08804
- (22) International Filing Date: 19 March 2001 (19.03.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/541,832 3 April 2000 (03.04.2000) US
- (71) Applicant: ERICSSON INC. [US/US]; 7001 Development Drive, Research Triangle Park, NC 27709 (US).
- (72) Inventors: LINDSKOG, Jan; Radavagen 54, S-435 43 Pixbo (SE). LUNDQVIST, Patrick; 1166 Avenida Esteban, Encinitas, CA 92024 (US). RYDNELL, Gunnar; Magasinsvagen 4, S-430 65 Ravlanda (SE). LINDHEIMER, Christofer; Köpenhamngatan 26, S-164 42 Kista (SE). MOLNO, Johan; Aloppevagen 28, S-128 56 Bromma (SE).
- (74) Agents: PHILLIPS, Steven, B. et al.; Moore & Van Allen PLLC, Suite 800, 2200 West Main Street, Durham, NC 27705 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR EFFICIENT HANDOVER IN PACKET DATA COMMUNICATION SYSTEM



(57) Abstract: A method is disclosed for prioritizing handovers from a current base station to another base station of a mobile terminal engaged in a packet data session on a cellular packet data traffic channel. The method comprises determining at the current base station that the mobile terminal is about to perform a handover to another base station, assigning increased communication resources by the current base station to the mobile terminal prior to the handover by the mobile terminal, and increasing throughput on the cellular packet data traffic channel between the current base station and the mobile terminal prior to the handover by the mobile terminal.

WO 01/76162 A1



NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,
TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(48) Date of publication of this corrected version:

18 April 2002

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(15) Information about Correction:

see PCT Gazette No. 16/2002 of 18 April 2002, Section II

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.